



12132B

|  |  |                        |                   |
|--|--|------------------------|-------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> |  | Application No.        | 09/476,037        |
|  |  | Filing Date            | December 31, 1999 |
|  |  | First Named Inventor   | Rodney A Korn     |
|  |  | Art Unit               | 2132              |
|  |  | Examiner Name          | G. C. Lee         |
| Total Number of Pages in This Submission   |  | Attorney Docket Number |                   |
|  |  | 42390P6098             |                   |

|   |  |   |  |  |  |
|---|--|---|--|--|--|
| <b>ENCLOSURES (check all that apply)</b>  |  |   |  |  |  |
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><div style="margin-left: 20px;"> <input type="checkbox"/> After Final<br/> <input type="checkbox"/> Affidavits/declaration(s)         </div><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><div style="margin-left: 20px;"> <input type="checkbox"/> PTO/SB/08         </div><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><div style="margin-left: 20px;"> <input type="checkbox"/> Basic Filing Fee<br/> <input type="checkbox"/> Declaration/POA         </div><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |  |  |  |
|   |  | <div style="border: 1px solid black; padding: 5px; min-height: 50px;">           - Check in the amount of \$110<br/>           - Return Postcard         </div>   |  |  |  |
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|   |  | JAN 23 2004   |  |  |  |
|   |  | Technology Center 2100  |  |  |  |
|   |  | Remarks   |  |  |  |

|   |  |
|---|--|
| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b> |  |
| Firm or Individual name                           | Gregory D. Caldwell, Reg. No. 39,926<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature   |  |
| Date  | January 12, 2004   |

|  |                 |      |                  |
|--|-----------------|------|------------------|
| <b>CERTIFICATE OF MAILING/TRANSMISSION</b>   |                 |      |                  |
| <p>I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> |                 |      |                  |
| Typed or printed name  | Derek S. Watson |      |                  |
| Signature  |                 | Date | January 12, 2004 |



**TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$ 110.00)

| <i>Complete if Known</i> |                   |
|--------------------------|-------------------|
| Application Number       | 09/476,037        |
| Filing Date              | December 31, 1999 |
| First Named Inventor     | Rodney A. Korn    |
| Examiner Name            | G. C. Lee         |
| Group/Art Unit           | 2132              |
| Attorney Docket No.      | 42390P6098        |

**METHOD OF PAYMENT** *(check all that apply)*

|   |                                      |                                      |                                |                               |
|---|--------------------------------------|--------------------------------------|--------------------------------|-------------------------------|
| <input checked="" type="checkbox"/> Check | <input type="checkbox"/> Credit card | <input type="checkbox"/> Money Order | <input type="checkbox"/> Other | <input type="checkbox"/> None |
| <input type="checkbox"/> Deposit Account  |                                      |                                      |                                |                               |

Deposit  
Account  
Number 02-2666

Deposit  
Account  
Name **Blakely, Sokoloff, Taylor & Zafman LLP**

**The Commissioner is authorized to (check all that apply)**

Charge fee(s) indicated below       Credit any overpayments

Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account

## **FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description | Fee Paid  |
|---------------------|--------------|-----------------|---|
| Fee Code            | Fee (\$)     | Fee Code        | Fee (\$)  |
| 1051                | 130          | 2051            | 65 Surcharge - late filing fee or oath  |
| 1052                | 50           | 2052            | 25 Surcharge - late provisional filing fee or cover sheet.                    |
| 2053                | 130          | 2053            | 130 Non-English specification   |
| 1812                | 2,520        | 1812            | 2,520 For filing a request for ex parte reexamination                         |
| 1804                | 920 *        | 1804            | 920 * Requesting publication of SIR prior to Examiner action                  |
| 1805                | 1,840 *      | 1805            | 1,840 * Requesting publication of SIR after Examiner action                   |
| 1251                | 110          | 2251            | 55 Extension for reply within first month                                     |
| 1252                | 420          | 2252            | 210 Extension for reply within second month                                   |
| 1253                | 950          | 2253            | 475 Extension for reply within third month                                    |
| 1254                | 1,480        | 2254            | 740 Extension for reply within fourth month                                   |
| 1255                | 1,210        | 2255            | 605 Extension for reply within fifth month                                    |
| 1404                | 330          | 2401            | 165 Notice of Appeal  |
| 1402                | 330          | 2402            | 165 Filing a brief in support of an appeal                                    |
| 1403                | 290          | 2403            | 145 Request for oral hearing  |
| 1451                | 1,510        | 2451            | 1,510 Petition to institute a public use proceeding                           |
| 1452                | 110          | 2452            | 55 Petition to revive - unavoidable   |
| 1453                | 1,330        | 2453            | 665 Petition to revive - unintentional  |
| 1501                | 1,330        | 2501            | 665 Utility issue fee (or reissue)  |
| 1502                | 480          | 2502            | 240 Design issue fee  |
| 1503                | 640          | 2503            | 320 Plant issue fee   |
| 1460                | 130          | 2460            | 130 Petitions to the Commissioner   |
| 1807                | 50           | 1807            | 50 Processing fee under 37 CFR 1.17(q)  |
| 1806                | 180          | 1806            | 180 Submission of Information Disclosure Stmt                                 |
| 8021                | 40           | 8021            | 40 Recording each patent assignment per property (times number of properties) |
| 1809                | 770          | 1809            | 385 Filing a submission after final rejection (37 CFR § 1.129(a))             |
| 1810                | 770          | 2810            | 385 For each additional invention to be examined (37 CFR § 1.129(b))          |
| 1801                | 770          | 2801            | 385 Request for Continued Examination (RCE)                                   |
| 1802                | 900          | 1802            | 900 Request for expedited examination of a design application                 |
| Other fee (specify) |              |                 |   |

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## Technology Center 2100

## 1. BASIC FILING FEE

| Large Entity        | Small Entity |          |          |                        | Fee Paid |
|---------------------|--------------|----------|----------|------------------------|----------|
| Fee Code            | Fee (\$)     | Fee Code | Fee (\$) | <u>Fee Description</u> |          |
| 1001                | 770          | 2001     | 385      | Utility filing fee     |          |
| 1002                | 340          | 2002     | 170      | Design filing fee      |          |
| 1003                | 530          | 2003     | 265      | Plant filing fee       |          |
| 1004                | 770          | 2004     | 385      | Reissue filing fee     |          |
| 1005                | 160          | 2005     | 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> |              |          |          | <b>(\$)</b>            |          |

## 2. EXTRA CLAIM FEES<sub>Ex</sub>

| Total Claims          |                    | Claims<br>below<br>20 <sup>**</sup> | = | Fee Paid |
|-----------------------|--------------------|-------------------------------------|---|----------|
| Independent<br>Claims |                    | X                                   |   |          |
|                       | - 20 <sup>**</sup> |                                     |   |          |
|                       | - 3                | X                                   |   |          |
| Multiple Dependent    |                    |                                     |   |          |

| Large Entity |          | Small Entity |          |   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) | <u>Fee Description</u>                            |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                            |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                 |
| 1203         | 290      | 2203         | 145      | Multiple Dependent claim, if not paid             |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over         |

*\*For numbers previously paid, if greater. For Reissues, see below.*

Complete (if applicable)

|                   |   |                                      |        |           |
|-------------------|---|--------------------------------------|--------|-----------|
| SUBMITTED BY      |   | Complete (if applicable)             |        |           |
| Name (Print/Type) | Gregory D. Caldwell   | Registration No.<br>(Attorney/Agent) | 39,926 | Telephone |
| Signature         |  |                                      | Date   | 01/12/04  |

Based on PTO/SB/17 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450